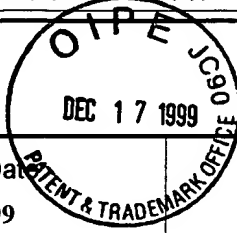


2731

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12994

In Re Application Of:
Tomoaki HOKAO



#3

Serial No.
09/404,704

Filing Date
9/23/99

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **RECEIVING CIRCUIT, MOBILE TERMINAL WITH RECEIVING CIRCUIT,
AND METHOD OF RECEIVING DATA**

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Group 2700

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

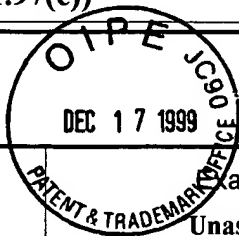
- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12994

In Re Application Of:

Tomoaki HOKAO



Serial No.
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Title: **RECEIVING CIRCUIT, MOBILE TERMINAL WITH RECEIVING CIRCUIT,
AND METHOD OF RECEIVING DATA**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on December 14, 1999 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Mishelle Spina

Typed or Printed Name of Person Mailing Correspondence

***This certificate may only be used if paying by deposit account.**

Dated: **December 14, 1999**

Signature

Paul J. Esatto, Jr.
Reg. No. 30,749
SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, NY 11530
(516) 742-4343

CC:



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Tomoaki HOKAO **Docket:** 12994
Serial No.: 09/404,704 **Examiner:** Unassigned
Filed: Sept. 23, 1999 **Dated:** Dec. 14, 1999
For: RECEIVING CIRCUIT, MOBILE TERMINAL WITH RECEIVING
CIRCUIT, AND METHOD OF RECEIVING DATA

Assistant Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

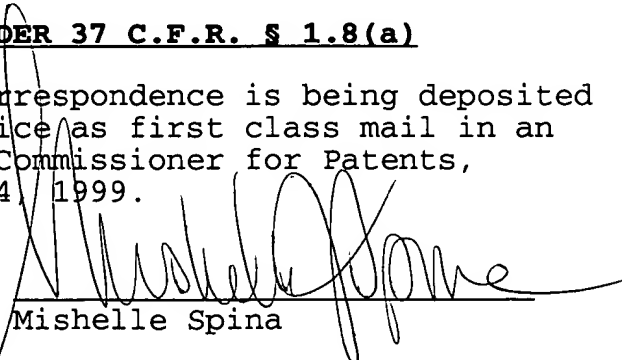
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Application No. 7-273689, dated October 20, 1995.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on December 14, 1999.

Dated: December 14, 1999


Mishelle Spina

2. Japanese Unexamined Patent Application No. 7-231278,
dated August 29, 1995.

3. Japanese Unexamined Patent Application No. 7-254871,
dated October 3, 1995.

4. Japanese Unexamined Patent Application No. 6-125329,
dated May 6, 1994.

5. Japanese Unexamined Patent Application No. 8-65233,
dated March 8, 1996.


The references were cited in an Official Action dated September 14, 1999 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, along with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references 1 and 2 is described in the body of the Official Action. References 3, 4, and 5 are indicated to be the result of a search, but are not a basis for a rejection.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the

foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no petition, certification or fee is required.

Respectfully submitted,


Paul J. Esatto, Jr.
Registration No. 30,749

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PJE/ahs